

## Exercise 8: Hooke's law

13.12.2024 - 16.12.2024

### Question 1 .....

Reference: Chou, Pagano, *Elasticity: Tensor, Dyadic, and Engineering Approaches*, Dover Publications, p. 64.

Determine the slope of the  $\sigma_{xx}$  vs.  $\varepsilon_{xx}$  curve in the elastic range if a material is tested under the following state of stress:

$$\begin{aligned}\sigma_{xx} &= 2\sigma_{yy} = 3\sigma_{zz} \\ \sigma_{xy} &= \sigma_{xz} = \sigma_{yz} = 0\end{aligned}$$

**Solution:** Recall Hooke's law:

$$\begin{aligned}\sigma_{xx} &= 2\mu\varepsilon_{xx} + \lambda(\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}), \\ \sigma_{yy} &= 2\mu\varepsilon_{yy} + \lambda(\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}), \\ \sigma_{zz} &= 2\mu\varepsilon_{zz} + \lambda(\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}), \\ \sigma_{xy} &= 2\mu\varepsilon_{xy}, \\ \sigma_{xz} &= 2\mu\varepsilon_{xz}, \\ \sigma_{yz} &= 2\mu\varepsilon_{yz}.\end{aligned}$$

We see immediately that  $\varepsilon_{xy} = \varepsilon_{xz} = \varepsilon_{yz} = 0$ . The first equation gives

$$\lambda(\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}) = \sigma_{xx} - 2\mu\varepsilon_{xx}.$$

Replacing this term in the second and third equations allows to determine  $\varepsilon_{yy}$  and  $\varepsilon_{zz}$  as function of  $\sigma_{xx}$  and  $\varepsilon_{xx}$ ,

$$\begin{aligned}\varepsilon_{yy} &= \varepsilon_{xx} - \frac{\sigma_{xx}}{4\mu}, \\ \varepsilon_{zz} &= \varepsilon_{xx} - \frac{\sigma_{xx}}{3\mu}.\end{aligned}$$

Inserting back into the first equation allows to eliminate  $\varepsilon_{yy}$  and  $\varepsilon_{zz}$ . Thus, we can write  $\sigma_{xx}$  as a function of  $\varepsilon_{xx}$  alone,

$$\sigma_{xx} = \frac{12\mu(3\lambda + 2\mu)}{(12\mu + 7\lambda)}\varepsilon_{xx}$$

and read off the slope

$$\frac{\partial\sigma_{xx}}{\partial\varepsilon_{xx}} = \frac{12\mu(3\lambda + 2\mu)}{(12\mu + 7\lambda)}.$$

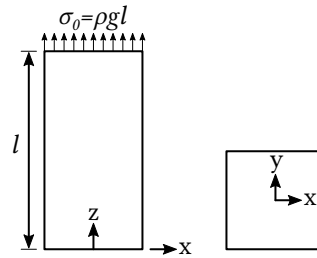
### Question 2 .....

Reference: Chou, Pagano, *Elasticity: Tensor, Dyadic, and Engineering Approaches*, Dover Publications, p. 86.

A bar of constant mass density  $\rho$  hangs under its own weight and is supported by the uniform stress  $\sigma_0$  as shown in the figure. Assume that the stresses  $\sigma_{xx}$ ,  $\sigma_{yy}$ ,  $\sigma_{xy}$ ,  $\sigma_{xz}$ , and  $\sigma_{yz}$  vanish identically.

- Recall that there are 15 governing equations in 3D: three equilibrium equations, six strain-displacement relations, and six stress-strain relations. Show that the 15 equations reduce to seven equations under the assumptions above. What are the variables?
- Integrate the equilibrium equation to show that  $\sigma_{zz} = \rho gz$ , where  $g$  is the acceleration due to gravity. Also show that the prescribed boundary conditions are satisfied by this solution.

(c) Find  $\varepsilon_{xx}$ ,  $\varepsilon_{yy}$ , and  $\varepsilon_{zz}$  from Hooke's law.



**Solution:** (a) The governing equation for isotropic elasticity in 3D are

$$\begin{aligned}
 & \left. \begin{aligned}
 \sigma_{xx} &= 2\mu\varepsilon_{xx} + \lambda(\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}) \\
 \sigma_{yy} &= 2\mu\varepsilon_{yy} + \lambda(\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}) \\
 \sigma_{zz} &= 2\mu\varepsilon_{zz} + \lambda(\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}) \\
 \sigma_{xy} &= 2\mu\varepsilon_{xy} \\
 \sigma_{xz} &= 2\mu\varepsilon_{xz} \\
 \sigma_{yz} &= 2\mu\varepsilon_{yz}
 \end{aligned} \right\} \text{Hooke's law,} \\
 & \left. \begin{aligned}
 \varepsilon_{xx} &= \frac{\partial u_x}{\partial x} \\
 \varepsilon_{yy} &= \frac{\partial u_y}{\partial y} \\
 \varepsilon_{zz} &= \frac{\partial u_z}{\partial z} \\
 \varepsilon_{xy} &= \frac{1}{2} \left( \frac{\partial u_x}{\partial y} + \frac{\partial u_y}{\partial x} \right) \\
 \varepsilon_{xz} &= \frac{1}{2} \left( \frac{\partial u_x}{\partial z} + \frac{\partial u_z}{\partial x} \right) \\
 \varepsilon_{yz} &= \frac{1}{2} \left( \frac{\partial u_y}{\partial z} + \frac{\partial u_z}{\partial y} \right)
 \end{aligned} \right\} \text{strain-displacement relations,} \\
 & \left. \begin{aligned}
 \frac{\partial \sigma_{xx}}{\partial x} + \frac{\partial \sigma_{xy}}{\partial y} + \frac{\partial \sigma_{xz}}{\partial z} + F_x &= 0 \\
 \frac{\partial \sigma_{xz}}{\partial x} + \frac{\partial \sigma_{yy}}{\partial y} + \frac{\partial \sigma_{yz}}{\partial z} + F_y &= 0 \\
 \frac{\partial \sigma_{xz}}{\partial x} + \frac{\partial \sigma_{yz}}{\partial y} + \frac{\partial \sigma_{zz}}{\partial z} + F_z &= 0
 \end{aligned} \right\} \text{equilibrium}
 \end{aligned}$$

Equations colored red disappear. If  $\sigma_{xy} = \sigma_{xz} = \sigma_{yz} = 0$  and  $\mu \neq 0$ , then the three red equations in Hooke's law can only be fulfilled if  $\varepsilon_{xy} = \varepsilon_{xz} = \varepsilon_{yz} = 0$ . All three become  $0 = 0$  and can therefore be ignored. The left hand side of the three red strain-displacement relations is zero. They can only be fulfilled if (i) the derivatives on the right hand side are zero, or (ii) if  $\partial u_x / \partial y = -\partial u_y / \partial x$ ,  $\partial u_x / \partial z = -\partial u_z / \partial x$ , and  $\partial u_y / \partial z = -\partial u_z / \partial y$ . Case (ii) represents a state of superimposed rigid body rotation, which we can ignore. Two equilibrium equations disappear because the corresponding stresses are zero. Note that gravitation acts only along  $z$ , hence  $F_x = F_y = 0$ . The remaining variables are  $\sigma_{zz}$ ,  $u_x$ ,  $u_y$ ,  $u_z$ ,  $\varepsilon_{xx}$ ,  $\varepsilon_{yy}$ , and  $\varepsilon_{zz}$ . Note that there are now seven governing equations and seven variables.

**Solution:** (b) The gravitation force per volume is  $F_z = -\rho g$ . The remaining equilibrium equation reads

$$\frac{\partial \sigma_{zz}}{\partial z} - \rho g = 0,$$

which can be integrated to give

$$\sigma_{zz} = \rho g z + C.$$

$C$  is a constant.  $\sigma_{zz}$  must vanish at  $z = 0$ , hence  $C = 0$ .

**Solution:** (c) Hooke's law now reads

$$\begin{aligned} 0 &= 2\mu \varepsilon_{xx} + \lambda (\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}), \\ 0 &= 2\mu \varepsilon_{yy} + \lambda (\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}), \\ \sigma_{zz} &= 2\mu \varepsilon_{zz} + \lambda (\varepsilon_{xx} + \varepsilon_{yy} + \varepsilon_{zz}). \end{aligned}$$

By subtracting the first and the second equation, we find  $\varepsilon_{xx} = \varepsilon_{yy}$ . Setting  $\varepsilon_{xx} = \varepsilon_{yy} = \varepsilon_{\text{lateral}}$  and inserting back into the first or second equation, we then obtain

$$\varepsilon_{\text{lateral}} = -\frac{\lambda}{2(\lambda + \mu)} \varepsilon_{zz}.$$

Note that  $\frac{\lambda}{2(\lambda + \mu)} \equiv \nu$ , the Poisson constant. Inserting  $\varepsilon_{\text{lateral}}$  and the result for  $\sigma_{zz}$  from (b) into the last equation, we get

$$\varepsilon_{xx} = \left( 2\mu + \lambda - \frac{\lambda^2}{\lambda + \mu} \right)^{-1} \rho g z.$$

**Question 3** .....  
 Metal or semiconductor crystals may contain defects in their lattice structure called "dislocations". These are very important for understanding plastic deformation. A so-called "screw dislocation", sketched in the figure, is created by the following displacement

$$\mathbf{u}(x, y, z) = \begin{bmatrix} 0 \\ 0 \\ \frac{b}{2\pi} \arctan\left(\frac{y}{x}\right) \end{bmatrix}.$$

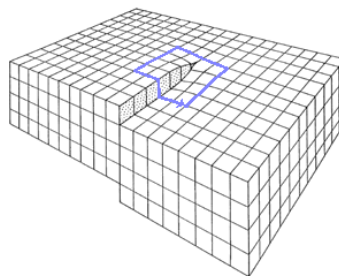


Figure 1: screw dislocation from: <https://www.tf.uni-kiel.de/matwis/amat/defen/kap5/backbone/r522.html>

Calculate the associated strain tensor  $\varepsilon$  and the stress tensor  $\sigma$  (using Hooke's law)! Is the body in a state of plane strain or plane stress? Do you notice something peculiar near the center of the dislocation at  $x = y = 0$ ?

**Solution:** The strains are given by the equation

$$\varepsilon_{ij} = \frac{1}{2} (\partial_i u_j + \partial_j u_i)$$

we thus find

$$\begin{aligned}\varepsilon_{xx} &= \varepsilon_{yy} = \varepsilon_{zz} = \varepsilon_{xy} = \varepsilon_{yx} = 0, \\ \varepsilon_{xz} &= \varepsilon_{zx} = -\frac{b}{4\pi} \frac{y}{x^2 + y^2}, \\ \varepsilon_{yz} &= \varepsilon_{zy} = \frac{b}{4\pi} \frac{x}{x^2 + y^2},\end{aligned}$$

and the stresses can be computed by the formula for isotropic materials given in the lecture

$$\sigma_{ij} = \lambda \delta_{ij} \varepsilon_{kk} + 2\mu \varepsilon_{ij}$$

to find

$$\begin{aligned}\sigma_{xx} &= \sigma_{yy} = \sigma_{zz} = \sigma_{xy} = \sigma_{yx} = 0, \\ \sigma_{xz} &= \sigma_{zx} = -\frac{\mu b}{2\pi} \frac{y}{x^2 + y^2}, \\ \sigma_{yz} &= \sigma_{zy} = \frac{\mu b}{2\pi} \frac{x}{x^2 + y^2}.\end{aligned}$$

The state of deformation is neither plane strain nor plane stress. Note that the fields diverge as  $x, y \rightarrow 0$ . Thus small strain elasticity breaks down in some region around  $x = y = 0$  and one needs to consider the atomic structure of the material to find the true state of deformation.

#### Question 4

We now consider a state of plane strain. The governing equations are

$$\begin{aligned}\varepsilon_{xx} &= \frac{\partial u_x}{\partial x}, \quad \varepsilon_{yy} = \frac{\partial u_y}{\partial y}, \quad \varepsilon_{xy} = \frac{1}{2} \left( \frac{\partial u_x}{\partial y} + \frac{\partial u_y}{\partial x} \right) \quad (\text{definition of strain}), \\ \sigma_{xx} &= 2\mu \varepsilon_{xx} + \lambda (\varepsilon_{xx} + \varepsilon_{yy}), \quad \sigma_{yy} = 2\mu \varepsilon_{yy} + \lambda (\varepsilon_{xx} + \varepsilon_{yy}), \quad \sigma_{xy} = 2\mu \varepsilon_{xy} \quad (\text{Hooke's law}), \\ \frac{\partial \sigma_{xx}}{\partial x} &+ \frac{\partial \sigma_{xy}}{\partial y} + F_x = 0, \quad \frac{\partial \sigma_{yy}}{\partial y} + \frac{\partial \sigma_{xy}}{\partial x} + F_y = 0, \quad (\text{equilibrium}).\end{aligned}$$

These are eight governing equations. However, we can combine them in such a way that we end up with only two equations in terms of the displacement components  $u_x$  and  $u_y$ . This form is convenient for problems where displacement components are prescribed over the entire boundary of the body. Find these two equations!

**Solution:** By substituting the strains into Hooke's law, one obtains

$$\begin{aligned}\sigma_{xx} &= \lambda \left( \frac{\partial u_x}{\partial x} + \frac{\partial u_y}{\partial y} \right) + 2\mu \frac{\partial u_x}{\partial x}, \\ \sigma_{yy} &= \lambda \left( \frac{\partial u_x}{\partial x} + \frac{\partial u_y}{\partial y} \right) + 2\mu \frac{\partial u_y}{\partial y}, \\ \sigma_{xy} &= \mu \left( \frac{\partial u_y}{\partial x} + \frac{\partial u_x}{\partial y} \right).\end{aligned}$$

Inserting these equations into the equilibrium conditions and eliminating stresses gives

$$\begin{aligned}\mu \left( \frac{\partial^2 u_x}{\partial x^2} + \frac{\partial^2 u_x}{\partial y^2} \right) &+ (\lambda + \mu) \frac{\partial}{\partial x} \left( \frac{\partial u_x}{\partial x} + \frac{\partial u_y}{\partial y} \right) + F_x = 0, \\ \mu \left( \frac{\partial^2 u_y}{\partial x^2} + \frac{\partial^2 u_y}{\partial y^2} \right) &+ (\lambda + \mu) \frac{\partial}{\partial y} \left( \frac{\partial u_x}{\partial x} + \frac{\partial u_y}{\partial y} \right) + F_y = 0.\end{aligned}$$

These are the *Navier-Cauchy* equations for plane strain.